

BEST AVAILABLE COPY

AUG 18 2006

Practitioner's Docket No. D-1174 R2

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Zachary Utz et al.

Serial No.: 10/688,621

Confirmation No.: 2440

Filed: October 17, 2003

For: Automated Banking Machine which Dispenses, Receives and
Stores Notes and other Financial Instrument SheetsMail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450INFORMATION DISCLOSURE STATEMENT


Sir:

In accordance with the obligations imposed by 37 C.F.R. Section 1.98, Applicant wishes to bring to the attention of the Examiner the following document listed on Form PTO/SB/08 (formerly PTO-1449) submitted herewith.

<u>Patent or Publication No.</u>	<u>Applicant/Patentee</u>	<u>Date</u>
5,676,231	Legras et al.	10-14-1997
2001/0015309	Ikuta	08-23-2001
6,315,279	Minamishin et al.	11-13-2001
2002/0074709	Kanagawa	06-20-2002
2002/0092727	Kato	07-18-2002

The citation of these references shall not constitute an admission that they constitute prior art or are material to the present invention.

Respectfully submitted,


Ralph E. Jocke
Reg. No. 31,029
231 South Broadway
Medina, Ohio 44256
(330) 721-0000

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1442/PTO

(Use as many sheets as necessary)

Sheet	1
-------	---

of 11

Complete if Known

Application Number	10/688,621
--------------------	------------

Filing Date	10/17/2003
-------------	------------

First Named Inventor	Utz
----------------------	-----

Art Unit

Examiner Name

Attorney Docket Number	D-1174 R2
------------------------	-----------

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner
Signature

**Date
Considered**

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

BEST AVAILABLE COPY

AUG 18 2006

Ralph E. Jocke
Patent
&
Trademark LawWalker
&
Jocke
a legal professional association

FACSIMILE TRANSMISSION COVER SHEET

TRANSMITTING: 3 PAGES (INCLUDING THIS PAGE)

NAME: Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450, Alexandria, Virginia 22313-1450

FAX NUMBER: (571) 273-8300

DATE: August 18, 2006

SENDER: Ralph E. Jocke

FAX OF SENDER (330) 722-6446

In Re Application of: Zachary Utz et al. Art Unit
Serial No.: 10/688,621 Examiner:
Confirm. No.: 2440 Docket: D-1174 R2
Filed: October 17, 2003
For: Automated Banking Machine which Dispenses, Receives and
Stores Notes and Other Financial Instrument Sheets

Sir:

Please find attached an Information Disclosure Statement and Form PTO/SB/08. No fee is due pursuant to 37 C.F.R. § 1.97.

Very truly yours,



Ralph E. Jocke Reg. No. 31,029

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this document and the documents indicated as enclosed herewith are being sent by facsimile transmission to the U.S. Patent and Trademark Office this 18th day of August, 2006.



Ralph E. Jocke

330 • 721 • 0000
MEDINA330 • 225 • 1669
CLEVELAND330 • 722 • 6446
FACSIMILErej@walkerandjocke.com
E-MAIL

231 South Broadway, Medina, Ohio U.S.A. 44256-2601